



## Recommended Reading: SEC Enforcement Activity against Public Companies and Their Subsidiaries

May 20, 2016

Reading Time : **1 min**

This week we highlight NYU's [SEC Enforcement Activity against Public Companies and Their Subsidiaries - Midyear FY 2016 Update](#). NYU Pollack Center for Law & Business and Cornerstone Research analyzed data in the Securities Enforcement Empirical Database (SEED). The report contains key findings for the number of filings, the classification of allegations, the enforcement venue, the timing of settlement and monetary settlements for a research sample of 364 public company defendants. The research concludes that the SEC has rapidly increased filings against public companies within the U.S. and their subsidiaries during the period from fiscal year 2010 and the first half of fiscal year 2016.

### Categories

Compliance

Anticorruption/Antibribery

© 2025 Akin Gump Strauss Hauer & Feld LLP. All rights reserved. Attorney advertising. This document is distributed for informational use only; it does not constitute legal advice and should not be used as such. Prior results do not guarantee a similar outcome. Akin is the practicing name of Akin Gump LLP, a New York limited liability partnership authorized and regulated by the Solicitors Regulation Authority under

number 267321. A list of the partners is available for inspection at Eighth Floor, Ten Bishops Square, London E1 6EG. For more information about Akin Gump LLP, Akin Gump Strauss Hauer & Feld LLP and other associated entities under which the Akin Gump network operates worldwide, please see our Legal Notices page.