



Recommended Reading: SEC Enforcement Co-Chief Talks Cybersecurity and Retail Investor Protection

Nov 3, 2017

Reading Time : **1 min**

This week we highlight a speech by Stephanie Avakian, Co-Director of the SEC's Division of Enforcement, on cybersecurity and retail investor protection. In her remarks, she addresses the key priorities of the Enforcement Division in its allocation of resources, including its focus on retail investors, cyber-related issues, the conduct of investment advisers and broker-dealers, financial fraud and disclosure issues, and insider trading.

Categories

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